### RISK, GOVERNANCE, AND COMPLIANCE SERVICES POOL

### RFP #25-06

### MANDATORY MINIMUM QUALIFICATIONS COMPLIANCE CERTIFICATE

This certificate and necessary supplemental pages must be set forth as part your Response, the “Minimum Qualifications Compliance Certificate.”

### Mandatory Minimum Qualifications

As of the date of the submission of the Response, the Offeror must satisfy the minimum requirements as outlined below in order to be considered for contract award for the services set forth in the RFP.

1. The Offeror must possess the system, expertise, and capacity sufficient to provide the services in at least one of the subject areas detailed in the Statement of Work section.
2. The Offeror must have a minimum three (3) years of actual consulting and service provider history in the field for which they submit a Response. To be eligible for governance consulting services, the Offeror must have experience providing governance consulting services in the last three (3) years to a public pension fund or investment board.
3. The key professionals and organization must not have, nor could they potentially have, a material conflict with the WSIB.
4. The Offeror must accept the general form of the written contract as supplied by the WSIB or must provide a tracked- changes version of the sample contract highlighting and explaining any issues or needed amendments to the standard language. This includes the PWR/PWO process as described in this RFP and in the sample contract, and the ability to propose fixed fee PWR responses when requested by the WSIB to do so.
5. The Offeror must agree and be able to maintain as secure and confidential all information concerning the business of the WSIB, its financial affairs, relations with its clients and employees, and any other information which may be specifically classified as confidential by the WSIB in writing.
6. The Offeror must have the ability to ensure that any personal information collected, used, or acquired in connection with the system will be used solely for purposes of the Contract. Offeror’s systems must have sufficient security to prevent the release, disclosure, publishing, transfer, or sale to unauthorized persons of personal information without the express written consent of the WSIB or as required by law. Offeror must have the demonstrated ability to implement physical, electronic, and managerial safeguards to prevent unauthorized access to personal information.

It is understood that failure to sign and return this statement and accompanying documentation with the Response to the RFP may render the Response invalid.

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| Signature |  | Firm |
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| Title |  | Date |